



Variable Annuities and Variable Life Insurance Regulation (October 2015 Edition)

Clifford E. Kirsch

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Additional material in the new second edition of **Variable Annuities and Variable Life Insurance Regulation** includes discussion of Rule 22e-3 under the Investment Company Act, which is intended to reduce the vulnerability of investors to the harmful effects of a run on the fund, and minimize the potential for disruption to the securities markets -- the DOL regulations that require, effective for plan years beginning on or after November 1, 2011, plan administrators to provide certain disclosures to participants in participant-directed individual account plans regarding the plan's investment options and fees and expenses paid by participants and the plan -- and Section 201(a) of the JOBS Act, which requires the SEC, within the ninety days following the JOBS Act's passage, to revise Rule 506 of Regulation D to permit offers and sales of securities through the use of a general solicitation, provided that all of the ultimate purchasers are accredited investors.

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